

Manufacturer Engagement Guide

Updated October 6, 2017

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1. Review Process

ICER's general review process is summarized in the figure on the next page. The exact dates of the milestones listed below may vary from one review to another; the primary ICER contact for a given review will provide specific dates. Subsequent sections of this chapter provide additional details on each of the milestones contained in the figure.

ICER Process	Week	Milestones	Comments
Topic Announced	0	Topic Announcement Open Input Period Begins	ICER identifies mfr. contacts; schedules introductory scoping calls with mfrs., clinical experts, patient groups, clinical societies, and insurers. Mfrs. may begin to submit supplemental information through the open input period.
_	2		
Draft Scope	3	Open Input Period Ends Draft Scoping Document Posted	Manufacturers and other stakeholders have 15 business days to comment on the draft scope.
Final Scope	4 5 6	Public Comment Period	·
· mai scope	7	Final Scoping Document Posted ICER Sends Request for Data	ICER sends formal requests for data to each mfr. Supplemental data requests may be sent during the following weeks on a case-
	8 9 10		by-case basis.
	11 12 13	Mfr. Evidence Submissions Due Open Science Framework Posting	Posting of model analysis plan and evidence review protocol
Draft	14		ledicidual dispussion cells with reconstructors 2.2 door often the
Evidence Report	15	Preliminary Findings Shared with Mfrs.	Individual discussion calls with manufacturers 2-3 days after the preliminary findings call. After reviewing ICER's preliminary model findings, manufacturers may send supplemental data.
	16 17	Supplemental Data Submission Due	Supplemental data sent in response to ICER's preliminary results are due 11 business days after call.
	18 19		ute due 11 business days after cail.
	20 21	Draft Evidence Report Posted	
Evidence Report	22 23 24 25	Public Comment Period	Mfrs. and other stakeholders have 20 business days to comment on the Draft Evidence Report.
	26 27		
Public	28 29	Evidence Report Posted	The relevant program voting panel reads this version of the report.
Meeting	30 31	Public Meeting	
Final Report	32	Final Evidence Report and Meeting Summary Posted	See section 1.7 for details on commenting opportunities during the public meeting. Set Manufacturer Input Opportunity

1.1 Topic Nomination and Selection

Overview

ICER utilizes three avenues to help determine the topics for its reviews: a rigorous horizon-scanning process, suggestions from external stakeholders, and input from the advisory boards of one of its public programs: the California Technology Assessment Forum (CTAF), the Midwest Comparative Effectiveness Public Advisory Council (Midwest CEPAC), and the New England CEPAC.

All topic selections are guided by a standard set of criteria listed below, with some variation depending on whether the evaluation will consider an emerging drug therapy, a class of drugs, a medical device, a procedure, or a delivery system intervention. ICER's senior leadership takes all of these factors into account when making a final decision on which topic to review.

- Projected timing of FDA approval
- Predicted likelihood of FDA approval
- Projected significant budget impact
- Timely by virtue of health policy landscape and stakeholder priorities
- Substantial opportunity to improve health outcomes by applying best evidence or potential for significant public health/health system impact
- Increasing significance to the public by virtue of prevalence, severity, disparities, and cost
- Emerging treatments with potentially large eligible patient populations, especially when less expensive alternatives are available
- Topics for which a review of evidence suggests specific actions for payers, physicians, patients, and policymakers and is likely to improve clinical practice and/or policy
- Topics addressing potentially overused or underused tests with substantial uncertainty over appropriate use
- Topics for which there is wide variation in approaches to delivery system design and/or financing, with substantial uncertainty over standards and best practices
- Topics involving vulnerable populations with the potential to reduce health disparities
- Topics that may leverage current health reform initiatives

Opportunities for Input

Manufacturers interested in submitting a topic for consideration should email info@icer-review.org. In their correspondence, nominators are asked to describe the importance of the topic being proposed, the population affected, clinical and economic information pertaining to the treatment, and the specific questions that systematic review of the evidence and economic evaluation could help answer. ICER staff may follow up with topic nominators when further clarification is needed.

1.2 Topic Announcement (Week 0)

Overview

ICER will publicly announce the topic of an upcoming report and meeting on its website and through a press release sent to email distribution lists approximately five months before the release of the Draft Evidence Report (seven months before a public meeting). The announcement may contain a preliminary list of interventions to be considered as part of the review (in certain instances, the list may first be announced in the scoping document), and a calendar of key review dates will be posted to the Meetings section of the ICER website. These key dates will include the release window for scoping documents, each version of the report, public comment periods, and the date of the public meeting.

Opportunities for Input

Topic Announcement

ICER staff will reach out to manufacturers prior to the topic announcement to identify the primary contact for the duration of the review. Once the appropriate contact has been identified, ICER will begin to schedule preliminary discussions during which manufacturers can offer input on scope and provide evidence for consideration.

1.3 Scope (Week 1-7)

Overview

ICER relies on input from relevant program advisory boards and external stakeholders to develop a report scope that addresses the questions most important to decision makers, fully considers the context in which health care decisions are being made, and ultimately frames the evidence report in a way that supports action and decision making from a range of perspectives. Input from external stakeholders is gathered through an Open Input period, interviews with key informants, and a formal public comment process.

Open Input Period

Once a topic has been announced, ICER will accept comments on the upcoming review from manufacturers and other stakeholders as part of an "Open Input" period. During this period, which extends until the draft scope has been released, stakeholders are encouraged to submit

commentary, citations, and guidance relevant to the topic of the upcoming review. Manufacturers may also recommend key informants for ICER to contact during this period. These individuals may be members of the research team that conducted the seminal clinical trials of an intervention, prominent researchers and practitioners working in the disease area, patients and caregivers, patient advocacy organizations, and others.

During the Open Input period, all stakeholders, including patient groups, clinicians, and manufacturers, are invited to submit commentary on a broad range of issues, including but not limited to:

- Important patient-relevant and patient-centered outcomes, especially those not adequately captured in the clinical trial data
- Key publications related to the clinical trial program
- Other benefits and disadvantages
- Key research needs
- Contextual considerations
- Key informant recommendations. Key informants specific to manufacturers include:
 - Principal investigators from clinical trials
 - Members of internal clinical and health economics outcomes research (HEOR) teams
 - National or regional clinical experts
- Any other input deemed relevant and critical to a comprehensive understanding of the evidence base

There are no page limits to Open Input submissions. The information gathered during the Open Input period is used to inform the development of the draft scoping document and the report. ICER does not publicly release Open Input submissions.

Scoping Document

ICER will develop a draft scoping document detailing the proposed topic, including the population, interventions, comparators, outcomes, timeframe, and setting(s) of care (PICOTS), as well as a summary of the structure, focus, and key comparisons for the economic model. These documents will be subject to a three-week public comment period. During the public comment period, anyone can comment on the proposed scope to help ensure that the report and related meeting are most relevant to the broadest possible audiences. ICER will also disseminate the document to a list of key stakeholders composed of relevant professional associations, patient organizations, policymakers, and manufacturers when the scoping document is available for public comment.

In contrast to the Open Input period, the public comment period on the draft scope is intended to give stakeholders a chance to react to, and provide specific input on:

- The appropriate population, interventions, comparators, outcomes, timeframe, and setting(s) of care (PICOTS) to be considered in the review
- The economic analysis approach broadly described in the draft scope
- Information about low-value services that may be eliminated or reduced to allow reallocation of resources to newer drugs and technologies.

ICER will arrange calls with relevant manufacturers during the time between the posting of draft and final scoping documents. These calls provide manufacturers with the opportunity to discuss which comparisons are most appropriate, the current state of the published evidence, and any other considerations that are important to the review.

Once the public comment period has closed, ICER will review all comments received and make any necessary revisions before posting a final scope and the comments it received on the draft scope to the ICER website. While we are unable to respond individually to each organization, ICER seriously considers all comments received when making updates to the scoping document. This process typically takes one week, and the publication date for the final scope will be listed on the Meetings page of the ICER website.

Requests for Data

ICER reports include a systematic review of the published clinical and economic literature on a given intervention, including existing high-quality systematic reviews or health technology assessments. Although these publications will be identified through ICER's formal literature search, manufacturers are also encouraged to submit key publications for consideration. In addition to published, peer-reviewed studies, ICER also considers unpublished data in certain circumstances described in detail in ICER's grey literature policy, available on the ICER website and in Chapter 2 of this document.

ICER also frequently requests so-called "data on file" (i.e., not previously published or publicly presented) from manufacturers. Manufacturers are not obligated to comply with this data request; however, ICER wishes to afford manufacturers the opportunity to provide any additional context to better inform the review.

The data on file submission itself will not be published or posted. However, if ICER and a manufacturer agree that proprietary data may be used in the report, said data will be included in relevant locations in report text, tables, and graphs in the interest of transparency; ICER and the manufacturer will agree on how best to cite these data. The decision to include proprietary data in a report is made on a case-by-case basis, and manufacturers can direct any questions on whether and how data will be used to the primary ICER contact for a given review. The submission of data on file does not guarantee its use. For example, if alternative data are available from published or

unpublished sources, ICER will evaluate all sources and determine which is most appropriate for inclusion in its analyses.

ICER recognizes that manufacturers may have developed their own economic models to support their product(s). While we are exploring the best ways to engage with manufacturer-developed models, our data needs are currently restricted to those that support models that we develop internally and/or with external collaborators.

A request for data will typically be sent when the final scoping document is released, and manufacturers will have a minimum of three weeks (15 business days) to submit information. The types of data requested for each review will vary from one review to the next, but a typical request will generally seek:

- Key data inputs for the economic model, including (but not limited to) health-state utilities, detailed safety findings, information on prior and/or subsequent treatments received, and selected tertiary outcomes (e.g., productivity)
- Peer-reviewed publications pertaining to the intervention of interest (including forthcoming publications)
- Clinical- and cost-effectiveness analyses not fully described in the published literature
- Estimates of product uptake
- Information on pricing
- Subgroup analyses

<u>Appendix A</u> contains an example request for data from ICER's review of treatments for non-small cell lung cancer.

Opportunities for Input

Open Input Period

Manufacturers may submit commentary, guidance, and citations as part of the Open Input period. There are no page limits to Open Input citations, and ICER will accept submissions in Word, Excel, PowerPoint, and PDF formats. The Open Input period runs from the time of a topic announcement until about one week before the draft scoping document is posted. All submissions should be sent to publiccomments@icer-review.org. Open Input submissions are not posted to the ICER website.

Scoping Call

ICER staff will arrange scoping calls with manufacturers in the weeks between the posting of the draft and final scope. During this call, manufacturers will have the opportunity to provide input on the scope of the review and to submit evidence for consideration.

Public Comment on Draft Scoping Document

All public comments on draft scoping documents must be emailed to publiccomments@icer-review.org by the deadline listed in the announcement accompanying the scoping document, and must adhere to the following format:

- Microsoft Word document (PDF files will not be accepted)
- Times New Roman, 12-point font size
- 3 pages maximum (not including references)
- Electronic copies only

Public comments will <u>not</u> be accepted after the deadline listed in the announcement or if they do not adhere to the stylistic requirements listed above.

As a courtesy, ICER staff will confirm the receipt of all public comments or respond with an explanation of why they were not accepted. Rejected comments may be resubmitted once they have been appropriately modified, but will not be accepted if the public comment deadline has passed. We encourage stakeholders to submit their comments several hours before the deadline to provide ample time for reformatting.

Given the strict requirements on the length of public comments, ICER offers the following suggestions for the content and format of public comments on the draft scope:

- Address specific points included in or excluded from the draft scoping document, and provide suggestions for alternative approaches supported by citations.
- Consider structuring comments to mirror the PICOTS structure of the scope.

ICER will publicly post the comments received on draft scoping documents.

Requests for Data

Manufacturers should submit additional data to the primary ICER contact for a given review, and the project lead will disseminate the data to the review team. Any information sent to ICER in response to a request for data should adhere to the following format:

- Articles should be submitted as PDF files
- Unpublished data should be submitted as an Excel spreadsheet or Word table

Final Scope

Once the public comment period has closed, ICER will review all comments received and make any necessary revisions to the scope before posting a final scope to the ICER website; this process typically takes one week.

1.4 Draft Evidence Report (Weeks 8-21)

Overview

ICER reports are released in three phases: 1) a *Draft Evidence Report*; 2) an *Evidence Report*; and 3) a *Final Evidence Report and Meeting Summary*. The project timeline that ICER posts along with the topic announcement will include the approximate dates on which each version of the report will be released to help stakeholders track the review process and plan for public comments in advance.

The Draft Evidence Report will include a review of the evidence on clinical effectiveness as well as an analysis of the cost-effectiveness and potential budget impact associated with an intervention. Value-based price benchmarks will only be released as part of the Evidence Report so that the calculations can reflect any changes made between the Draft Evidence Report and the Evidence Report in the underlying analyses of cost-effectiveness and potential budget impact. It should also be noted that the Draft Evidence Report is not disseminated to the members of one of ICER's regional programs, though it is publicly available on ICER's website, and findings contained within this version of the report should be considered preliminary.

There are four ways in which ICER engages manufacturers while generating a draft evidence report: key informant interviews, posting of a research protocol and model analysis plan, sharing of preliminary results, and formal public comments.

Key Informant Interviews

During the development of the draft evidence report, ICER staff may seek further input from experts about the interventions being studied, as well as perspectives on the key barriers to practice and/or policy change. Depending on the topic, a summary of these interviews may form a section of ICER's report designed to offer potential policy innovations, opportunities for evidence application, barriers to change, and practice benchmarks. As in the scoping phase of the review, manufacturers may submit suggestions for key informant interviewees.

Research Protocol and Model Analysis Plan

Approximately five weeks after the release of the Final Scoping Document, ICER will publish an evidence review protocol and detailed model analysis plan to the Open Science Framework website (https://osf.io/7awvd/). The plan may be updated following review of additional data sources, and is intended to be considered a "living document." While there is no formal comment period for these documents, manufacturers may find their contents to be helpful starting points for further question and discussion with the ICER review team. Manufacturers may also wish to submit alternative references, inputs, and assumptions in response, and may do so until the deadline for comments on the preliminary results presentation (see below). Additional information on what will

be posted to the Open Science Framework website can be found in the <u>Methodology</u> section of ICER's website.

Preliminary Results

Approximately six weeks before the publication of a draft evidence report, ICER will arrange a call with all manufacturers involved in the review to present the preliminary results of its clinical and economic evaluation; patient advocacy organizations and clinical societies may also be invited to observe the presentation. Because of the preliminary nature of these results, they should be considered confidential, and are not to be shared outside of the attending organization. The call will be structured as a presentation of the results and ICER will set aside 30 minutes for discussions with individual manufacturers approximately three days later. Following the presentation call, manufacturers will have a total of 11 business days to provide comments and relevant supplemental or alternative citations and data to guide the preparation of the Draft Evidence Report (see figure below).



Opportunities for Input

Key Informant Interviews

Manufacturers can recommend a key informant for a given topic by emailing the primary ICER contact for a given review or info@icer-review.org. Recommendations for key informants specific to manufacturers include but are not limited to:

- Principal investigators from clinical trials
- Members of internal clinical health economics outcomes research (HEOR) teams
- National or regional clinical experts

Research Protocol and Model Analysis Plan

Manufacturers who wish to provide input on the research protocol and model analysis plan should send all feedback to the primary ICER contact in advance of the preliminary results presentation (see below). Responses should be submitted in a format appropriate to the contents (Word, Excel, or PowerPoint document for data, PDFs for publications).

Preliminary Results

As noted earlier, ICER will arrange a call to share preliminary results with manufacturers approximately six weeks before the publication of a draft evidence report. Approximately three days later, ICER will designate a block of time during which each manufacturer will have 30 minutes to discuss the preliminary results individually with the ICER review team. The primary ICER contact will provide adequate notice of the date and time of the presentation call and subsequent discussion calls to assist with scheduling efforts. Beginning with the presentation call, manufacturers have a total of 11 days to submit comments and alternative or supplemental data to the primary program contact for a review. Although there are no formal stylistic requirements for this submission, editorial comments and suggestions should be presented in a Word document, additional data should be contained in an Excel table or Word document, and any publications should be submitted as PDF files. ICER does not publish the feedback it receives on the preliminary results presentation.

1.5 Public Comments on Draft Evidence Report (Weeks 22-25)

Overview

The release of a Draft Evidence Report and voting questions provides manufacturers and other stakeholders with an opportunity to publicly comment on ICER's findings. The Draft Evidence Report will be available for comment approximately eight weeks before the in-person meeting, and ICER will notify stakeholders and the public of the document's release via an email announcement to ICER's email lists. Draft Evidence Reports and voting questions will be open to public comment for a period of four weeks (20 business days). Formal public comments must adhere to stylistic guidelines described in the "Engagement" section below, and must be submitted before the deadline listed on the ICER website and in the announcement of the Draft Evidence Report and voting questions' release.

All public comments received during this period will be released alongside the subsequent version of the review (the Evidence Report), and will be accompanied by a summary document describing ICER's rationale for changing, or not changing, the review in response to the most prominent points raised by commenters.

Opportunities for Input

After the Draft Evidence Report and voting questions are released, manufacturers will have four weeks (20 business days) to submit public comments. Comments must be emailed as an attachment to publiccomments@icer-review.org and must meet the following style requirements:

- Microsoft Word document (PDF files will not be accepted)
- Times New Roman, 12-point font size
- 5 pages maximum (excluding references)
- Electronic copies only

Public comments will <u>not</u> be accepted after the deadline listed in the announcement or if they do not adhere to the stylistic requirements listed above. As a courtesy, ICER staff will confirm the receipt of all public comments or respond with a description of why they were not accepted. Rejected comments may be resubmitted once they have been appropriately modified, but will not be accepted if the public comments deadline has passed. ICER encourages stakeholders to submit their comments several hours before the deadline to provide ample time for reformatting.

Given the strict requirements on the length of public comments, ICER offers the following suggestions for the content and format of public comments on the Draft Evidence Report:

- When addressing evidence contained in the report, refer to specific portions of the report and offer alternative/supplemental citations or analyses.
- When addressing evidence excluded from or not contained within the report, provide citations and rationale for why the evidence should have been included.
- Avoid restating clinical evidence and findings already summarized in the Draft Evidence Report.
- Restrict comments to the topics of greatest importance. Additional minor concerns may be discussed outside of the formal public comment process with the primary ICER contact for a given review.
- Reduce the use and size of letterheads, logos, and headers/footers.

1.6 Evidence Report (Weeks 26-28)

Overview

Once the public comments period has closed, ICER staff revise the Draft Evidence Report and voting questions as necessary before posting the Evidence Report and revised voting questions. The process of addressing public comments and revising the Draft Evidence Report and voting questions can take up to two weeks. The Evidence Report and voting questions are then posted to the website and distributed to the relevant voting body for review and meeting preparation, typically two weeks before the public meeting. As noted in the previous section, the Evidence Report will contain ICER's value-based price benchmark for the interventions under review.

Manufacturers and the public will be notified of the Evidence Report and revised voting questions via an announcement to ICER's email list, as well as by direct outreach to stakeholders who participated in the research process.

Opportunities for Input

Once the Evidence Report and revised voting questions are posted, no further written public comments are accepted. Manufacturers and other stakeholders will have the opportunity to make oral public comments during the public meeting.

1.7 Public Meeting (Week 30)

Overview

As part of its commitment to transparency and inclusion of all stakeholders, ICER presents each of its reports at a public meeting of one of its core programs. Each meeting will follow a format similar to the one presented on the next page, with some variation depending on the meeting subject and number of interventions examined in the report.

To ensure that sufficient space at the meeting is available to members of the public, ICER requests that each manufacturer limit their number of attendees to 3-5, though this may change depending on the degree of interest in a topic. ICER project leads are able to clarify questions regarding attendance as the public meeting date approaches.

Agenda Item	Primary Participants
1. Presentation of the Evidence and Economic	ICER staff and consultants, voting panel, patient and clinician
Modeling, Q&A/Discussion	members of the policy roundtable, manufacturers (as
	needed), patient advocacy organizations (dependant on
	topic)
2. Public Comments from Manufacturers	Manufacturers
3. Public Comments from Patients, Clinicians,	Patients, Clinicians, Payers, Researchers, and other
and Public	interested individuals
4. Voting on clinical effectiveness and value	Moderator; voting panel; clinical, patient, and subject-matter
questions; additional discussion	experts from the policy roundtable; manufacturers (as
	needed)
5. Policy roundtable discussion	Moderator, voting panel, policy roundtable
6. Reflections from voting panel	Moderator, voting panel
7. Summary and closing remarks	Moderator

Presentation of the Evidence and Economic Modeling, Q&A/Discussion

ICER staff and consultants will present the evidence contained in the report to the voting panel of one of ICER's public programs. At some meetings, patient advocacy organizations may also present the findings of their own evidence generation on patient-reported outcomes, and survey results on other benefits and contextual considerations. Manufacturers are invited to designate one to two representatives who will be in attendance at the meeting to clarify any clinical or economic questions raised by ICER staff, the voting panel, and the moderator during the meeting.

Public Comments

Each public meeting includes time for manufacturers and other stakeholders to deliver oral public comments, and details on how to register to deliver comments are included in the "Engagement" section below. These public comments are typically broken into two separate agenda items – one for the manufacturers involved in the review, and another for all other stakeholders.

Each manufacturer involved in the review may request one 3- to 5-minute speaking slot during the agenda item for manufacturers. During this portion of the meeting, all manufacturer representatives will be invited to sit at the main session table where they will deliver their remarks in sequence. Following the prepared remarks, the same speaker will be invited to participate in a moderated roundtable discussion alongside the report authors and the voting panel. During this discussion, manufacturer representatives may pose questions to the report authors, the voting panel may ask for further detail about topics raised in the report and during the oral public comments, and the meeting moderator may raise additional topics for discussion.

Each public meeting also includes time for comments from other stakeholders, including patients, clinicians, and researchers. Manufacturers may request speaking slots for affiliated clinicians, researchers, and other individuals, but ICER reserves the right to limit the number of manufacturer-affiliated individuals who participate in this agenda item to allow for balance and diversity in perspective in the comments. Because there is no guarantee that there will be time available for all interested individuals to comment, ICER encourages all stakeholders to submit written comments during the public comment period on the Draft Evidence Review.

Each commenter who is confirmed to speak at the meeting will be given five minutes to speak. Comments during the meeting are verbal-only, and the use of handouts or slide presentations is not permitted. Manufacturers may submit a 250-word summary of their remarks to ICER within two days after the meeting; these summaries will be published without editing in a report appendix.

Voting on Clinical Effectiveness and Value

During the voting session, ICER encourages members of the voting panel to raise additional questions and discuss the rationale behind their votes. As in previous portions of the meeting, manufacturer representatives may be called on to provide additional information or clarification.

Policy Roundtable

For each meeting, ICER invites key stakeholders to participate in a policy roundtable discussion following the voting session. Participants may represent patient, clinical, and policymaker perspectives, and will be selected on the basis of their expertise in the relevant subject matter and by recommendations from the program's Advisory Board and relevant professional societies/advocacy organizations. Roundtable panelists are tasked with discussing the implication of the votes and deliberation for policy and practice. Patient advocates and clinicians will serve as resources for the voting panel throughout the public meeting, including during the evidence presentation and votes. Manufacturers and insurer representatives typically only participate in the afternoon policy roundtable discussion, although manufacturers may elect to have the same individual deliver public comments in the morning and participate in the roundtable discussion in the afternoon. Some policy roundtable panelists may also serve as resources during the votes to help answer any technical questions as they arise.

Manufacturers may be invited to formally participate in the policy roundtable at ICER's discretion. As in previous portions of the meeting, manufacturer representatives in attendance may be called on by meeting participants during the policy roundtable discussion to clarify clinical and economic questions.

Opportunities for Input

Oral Public Comments

Each manufacturer involved in the review is offered time to speak during the oral public comment period. For other public comments, since there may be more requests than can be accommodated during the meeting, and to help provide the opportunity for a broad range of stakeholder perspectives to be heard, public comment slots will only be confirmed after the deadline for requests has passed. Priority for these additional public comment slots will be given to patients with the relevant condition for the meeting and subject-matter experts from the patient advocacy, clinical, and research communities. Manufacturers who wish to speak during the oral public comments period must contact ICER at publiccomments@icer-review.org by the end of the written public comment period on the Draft Evidence Report, and must provide the name, title, contact information, and organization on behalf of which the commenter will speak. ICER staff will respond with a request to fill out an online conflict of interest form. Individuals who register to deliver oral

comments must also reserve a ticket to the meeting by following the registration link provided on the relevant Meetings page on the ICER website.



Public commenters may not use a slide presentation or distribute materials to the voting panel or audience members prior to or during the public meeting. As noted above, commenters may submit a 250-word summary of their remarks to the primary ICER contact for the review, and these summaries will be included in a report appendix.

Policy Roundtable

Manufacturer representatives may be invited to participate in the policy roundtable. Invited representatives should be prepared to participate in a wide-ranging, semi-structured discussion on clinical and economic considerations pertaining to the intervention under review. Prior to the meeting, the primary ICER contact and/or meeting moderator will hold a discussion with individual policy roundtable members on the topics that are likely to be raised during the discussion.

1.8 Final Evidence Report and Meeting Summary (Weeks 30-32)

Overview

Following the in-person meeting, ICER staff prepare the Final Evidence Report and Meeting Summary. The primary difference between the Evidence Report and Final Report is the addition of a chapter that summarizes the voting panel's deliberation and key recommendations derived from the policy roundtable discussion. Revisions may be made to the Evidence Report based on deliberation and oral comments received during the public meeting.

Opportunities for Input

ICER continually seeks to improve its public processes; to that end, ICER staff will be available for a post-meeting debriefing call with manufacturers upon request. To arrange a call, manufacturers should send a request to the primary ICER contact for the review.

2. Grey Literature Policy

ICER is frequently asked by various stakeholders to consider evidence for its reviews beyond that found in formally published, peer-reviewed literature sources. Such evidence, collectively known as "grey" literature, may include conference proceedings and/or abstracts, manufacturer submissions to regulators, technical briefs, and other online reports. Use of the grey literature is commonplace in evidence reviews to identify potential publication or other reporting biases (i.e., studies presented publicly that have not been published). However, explicit synthesis of evidence from grey literature sources alongside data from published studies may be problematic, as there is no guarantee of any adjudication or review of the authenticity of information available in grey literature sources.

In response to these requests, ICER has developed the following policy, to be applied to its work for CTAF, the Midwest CEPAC, the New England CEPAC, and other programs.

- ICER's general policy is to evaluate the grey literature as part of its assessment of the
 potential for publication or reporting bias, but not to include such sources in its synthesis of
 the available evidence. Exceptions will be made to this policy under certain circumstances,
 as below:
 - The evidence base is deemed to be "rapidly evolving" such that grey literature
 represents a significant portion of the available evidence. For example, a drug or
 device could be approved by regulators using an accelerated pathway; the review
 timeline in such a pathway may be shorter than the publication backlog for key
 clinical studies.
 - Certain outcomes deemed to be of primary interest by clinical experts, ICER's review
 panels, or other influential bodies are available only in the grey literature. Examples
 might include detailed subgroup information from manufacturer submissions to
 regulators or long-term data on durability of treatment effects beyond the
 timeframe of key clinical studies.
 - Data from an individual study deemed to be pivotal for ICER's review is currently available only in the grey literature. A common example is availability of data presented at clinical conferences that also resides in a manuscript currently undergoing peer review. Note that studies that have completed peer review but are not yet published (i.e., "in press") will be considered on par with published studies, as they have already undergone peer review and any necessary revision. ICER will work with manufacturers on a case-by-case basis to address concerns regarding whether data-sharing will jeopardize publication.
- 2. If any of the above circumstances exist, ICER will provide a rationale for inclusion of grey literature in its review, and explicitly describe the methods of searching, screening, and synthesizing evidence derived from it.

- 3. In addition, ICER will only consider evidence from sources with a clearly described and formal submission process, such as conference presentations and manufacturer submissions to regulatory agencies. Technical reports from recognized governmental authorities such as regulators and health technology assessment agencies will also be considered acceptable. Information from unqualified sources such as blog posts, social media interactions, and reports from commercial entities are not eligible for consideration.
- 4. If ICER finds the inclusion of grey literature evidence to be appropriate, <u>qualitative</u> findings from grey literature will always be presented separately from data available in peer-reviewed published studies, so stakeholders will clearly understand what has and has not undergone peer review. In some circumstances, it may be necessary to combine findings from grey literature and published sources in any <u>quantitative</u> synthesis (i.e., meta-analysis), however. If such an analysis is performed, sensitivity analyses will be conducted where feasible that limit the meta-analyzed studies to the published literature only.
- 5. If data are available from both peer-reviewed publications and grey literature sources, information will always be abstracted from peer-reviewed published studies alone unless one of the exceptions described above is identified.

3. Frequently Asked Questions

Can ICER provide manufacturers with information on future reports, including confirmation of the program that will review the report, before the topic is publicly announced?

Due to challenges presented by a frequently unpredictable regulatory process, ICER cannot provide this information in advance of the public topic announcement.

ICER is reviewing a drug we manufacture; when can we expect to begin engagement?

Before the topic is publicly announced, ICER will contact manufacturers to identify the primary contact for the review process. Once the topic has been publicly announced, formal engagement between ICER and manufacturers will begin. More information is available in the <u>Topic</u>

Announcement and Scope sections of this guide.

How should additional data be submitted to ICER?

Published articles should be submitted in PDF format. Grey literature sources should be submitted in their appropriate source format, including PDF, PowerPoint, and others. Finally, supplementary "data on file" should be submitted in the most suitable format after consultation with ICER staff and consultants; common formats have included text files, CSV/Excel files, and Word documents. All submissions should be directed to the primary ICER contact for the review, typically the program manager or director, who will then disseminate the submission to the review team. More information is available in the <u>Draft Evidence Report</u> section of this guide.

Where can I find details about ICER's analyses?

ICER is committed to open and transparent engagement with all stakeholders that have an interest in each of its evidence reviews. To this end, ICER and its external collaborators post information about the research protocol and economic modeling effort to the Open Science Framework website at several points during the review process. Additional information on what will be posted to the Open Science Framework site can be found on in the Methodology section of ICER's website.

Appendix A. Sample Request for Data

A sample data request from ICER's review of treatments for non-small cell lung cancer is reproduced below. Data requests will vary from one topic to another, and this sample request is intended to provide a general sense of the types of data ICER may request for a review.

- 1. Epidemiology/Structural Needs
 - a. Population eligible for regimens being compared
 - b. Number of patients currently/potentially treated with each comparator regimen
 - c. Potential market uptake rates for each regimen over the first 1-5 years
 - d. Baseline therapy survival curves, to which other regimens' effectiveness estimates are applied to derive comparator curves. If population-specific survival curves (both progression-free survival [PFS] and overall survival [OS]) are available in the literature, please indicate the most appropriate source.
- 2. Effectiveness Parameters
 - a. PFS hazard ratios for comparator regimen(s) vs. baseline
 - b. OS hazard ratios for comparator regimen(s) vs. baseline
- 3. Quality of Life Parameters
 - a. Utility: progression-free, on treatment
 - b. Utility: progression-free, off treatment
 - c. Utility: progressed disease
 - d. Any disutilities associated with adverse events
- 4. Drug Regimen Parameters
 - a. Cost for each drug formulation (i.e., by vial, by tablet, by concentration, etc.)
 - b. Drug regimen (i.e. dosage, number of cycles, duration of therapy, etc.)
 - c. Drug regimen prophylaxis information
 - d. Feasibility/acceptability of vial sharing among patients to reduce drug cost
 - e. Dosage intensity estimates for each individual drug within a given regimen
 - f. Drug administration costs (if injected)
 - g. Average patient weight and height (for dosage calculations)
- 5. Adverse Event Parameters
 - a. Proportions of grade 3/4 AEs observed for each regimen (all; please do not limit reporting to those meeting an arbitrary threshold)
 - b. Treatment costs associated with each AE
- 6. Progressed Disease Treatment
 - a. Post-progression regimens received
 - b. Post-progression survival estimates
 - c. Post-progression treatment costs